

FINANCIAL RISK DISCLOSURE AND ACCEPTANCE POLICY

1. REGULATORY PURPOSE, APPLICATION, AND RISK ACKNOWLEDGMENT FRAMEWORK

1.1 Scope, Purpose, and Regulatory Disclosure Standards

1.1.1 This Financial Risk Disclosure and Acceptance Policy (*hereinafter referred to as the “Policy”*) establishes the governing legal, operational, regulatory, and disclosure framework adopted and implemented by **CAPITAL NOMOS** (*hereinafter referred to as the “Company,” “we,” “us,” or “our”*) for the purpose of informing, educating, warning, and formally notifying Clients of the material financial, operational, technological, market-related, contractual, liquidity-related, and systemic risks associated with the use of the Company’s Services, Trading Platforms, financial products, digital infrastructure, payment systems, and transactional environments.

1.1.2 This Policy shall operate as a supplementary and legally binding component of the Company’s Conditions of Use and shall apply to all Clients, prospective Clients, authorized representatives, beneficial owners, affiliates, account holders, and all individuals or entities accessing, utilizing, or interacting with the Company’s Services, Trading Platforms, software infrastructure, operational systems, financial products, or associated digital environments.

1.1.3 By registering an account, accessing the Company’s systems, depositing funds, executing transactions, maintaining trading positions, or otherwise utilizing the Company’s Services, the Client expressly acknowledges, confirms, understands, and voluntarily accepts the risks, exposures, uncertainties, obligations, and liabilities disclosed within this Policy, whether expressly stated herein or reasonably associated with leveraged trading activities and financial market participation.

1.1.4 The Client further acknowledges that trading in leveraged financial products, derivative instruments, Contracts for Differences (*CFDs*), digital assets, cryptocurrencies, commodities, foreign exchange products, indices, securities, or similar speculative instruments involves a substantial risk of financial loss and may not be suitable for all investors or market participants.

1.1.5 Nothing contained within this Policy shall be interpreted as:

- (a) financial advice;
- (b) investment recommendations;
- (c) portfolio management services;
- (d) fiduciary guidance;
- (e) tax consultation;
- (f) legal advice; or
- (g) any assurance of profitability, performance, or financial success.

1.1.6 The Company makes no representation, warranty, undertaking, or guarantee regarding:

- (a) future market performance;
- (b) profitability of trading strategies;
- (c) preservation of capital;
- (d) avoidance of losses;
- (e) uninterrupted trading access; or
- (f) favorable execution conditions.

1.1.7 The Company reserves the unrestricted authority to amend, revise, supplement, replace, expand, interpret, suspend, withdraw, or update this Policy at any time where deemed necessary for legal, operational, regulatory, compliance, commercial, cybersecurity, or risk-management purposes.

2. MARKET RISKS, SPECULATIVE TRADING, AND FINANCIAL EXPOSURE

2.1 Nature of Financial Instruments and Speculative Transactions

2.1.1 The Client expressly understands and accepts that transactions conducted through the Company's Trading Platforms are speculative in nature and generally involve derivative-based financial arrangements, including Contracts for Differences (*CFDs*), rather than ownership, possession, delivery, custody, or acquisition of underlying assets or securities.

2.1.2 Profits and losses arising from trading activities are derived solely from fluctuations in market prices occurring during the duration of an open position and may be materially

influenced by leverage, liquidity conditions, volatility levels, pricing mechanisms, execution timing, or external market developments.

2.1.3 The Company may provide access to complex financial instruments and leveraged products referencing or derived from:

- (a) foreign exchange markets;
- (b) fiat currencies;
- (c) cryptocurrencies and digital assets;
- (d) commodities;
- (e) precious metals;
- (f) publicly traded securities;
- (g) stock indices;
- (h) exchange-traded products;
- (i) synthetic instruments; and
- (j) derivative contracts.

2.1.4 Such financial instruments involve a high degree of risk and may expose the Client to rapid, substantial, or complete financial loss within a short period of time.

2.2 Market Volatility and Leverage Risks

2.2.1 The Client expressly acknowledges that leveraged trading significantly magnifies both potential gains and potential losses and may result in losses exceeding the Client's initial deposit, account equity, or available balance.

2.2.2 Financial markets are inherently volatile and may experience sudden, severe, irrational, unpredictable, or extreme price movements caused by:

- (a) geopolitical events;
- (b) economic announcements;
- (c) central bank actions;
- (d) regulatory developments;
- (e) sanctions;
- (f) liquidity shortages;
- (g) cyber incidents;
- (h) technological disruptions;

- (i) market speculation;
- (j) force majeure events; or
- (k) unforeseen systemic instability.

2.2.3 Historical price performance, market trends, technical indicators, economic forecasts, analytical models, or prior trading results shall not constitute reliable indicators of future performance or anticipated profitability.

2.2.4 The Client expressly accepts the possibility of:

- (a) severe market gaps;
- (b) slippage;
- (c) delayed execution;
- (d) partial execution;
- (e) rejected orders;
- (f) abnormal spread widening;
- (g) temporary illiquidity; and
- (h) adverse pricing conditions.

2.2.5 Under volatile or illiquid market conditions, stop-loss orders, protective trading tools, or automated risk-management mechanisms may fail to execute at the intended price or may not adequately limit financial losses.

2.2.6 The Client expressly acknowledges and accepts that funds deposited with the Company are not protected by any government-backed deposit insurance scheme, investment compensation fund, banking guarantee mechanism, or capital preservation arrangement unless expressly required under applicable law. The Company makes no representation or guarantee regarding the preservation, recovery, or protection of deposited capital.

3. MARGIN OBLIGATIONS, EXECUTION RISKS, AND PLATFORM LIMITATIONS

3.1 Margin Requirements and Liquidation Exposure

3.1.1 The Client shall remain solely responsible for maintaining sufficient margin, collateral, available balance, and account equity necessary to support open positions and satisfy the Company's applicable margin requirements.

3.1.2 Where margin thresholds, maintenance requirements, leverage limits, or risk parameters are breached, the Company may, without prior notice:

- (a) liquidate positions;
- (b) restrict trading activity;
- (c) reject orders;
- (d) close positions partially or entirely;
- (e) increase margin requirements; or
- (f) suspend account functionality.

3.1.3 The Client acknowledges that negative balances, realized losses, debt obligations, financing charges, and liquidation deficiencies may arise following market volatility or forced liquidation events. The Client further acknowledges that the Company reserves the right, where permitted under applicable law, to recover outstanding deficits, negative balances, unpaid obligations, financing charges, and liabilities arising from trading activity or forced liquidation events.

3.2 Order Execution and Operational Risks

3.2.1 Order execution remains subject to prevailing market conditions, available liquidity, system availability, pricing continuity, technical functionality, and operational limitations.

3.2.2 The Client expressly acknowledges that:

- (a) execution delays;
- (b) price discrepancies;
- (c) system interruptions;
- (d) network latency;
- (e) communication failures;
- (f) data corruption;
- (g) server disruptions;
- (h) pricing inaccuracies; or

(i) technological malfunctions may adversely impact trading activities and financial outcomes.

3.2.3 The Company shall not be liable for losses resulting from:

- (a) internet failures;
- (b) hardware malfunctions;
- (c) telecommunications disruptions;
- (d) cybersecurity incidents;
- (e) software errors;
- (f) third-party infrastructure failures; or
- (g) events beyond the Company's reasonable operational control.

3.2.4 The Client is solely responsible for maintaining adequate:

- (a) internet connectivity;
- (b) device functionality;
- (c) cybersecurity safeguards;
- (d) system compatibility;
- (e) backup communication methods; and
- (f) access continuity measures.

3.2.5 The Client acknowledges that internet-based trading and electronic communications may be vulnerable to interruptions, transmission delays, hardware failures, unauthorized access, cybersecurity incidents, malicious software, phishing attacks, data interception, or system incompatibilities. The Company does not guarantee uninterrupted connectivity or error-free electronic communication.

4. INFORMATIONAL LIMITATIONS, COMPLIANCE RISKS, AND THIRD-PARTY EXPOSURE

4.1 Non-Advisory Nature of Information

4.1.1 Any market commentary, research material, trading signals, analytical content, educational resources, webinars, reports, charts, indicators, economic analysis, technical observations, or informational material provided by the Company shall be considered general

information only and shall not constitute:

- (a) investment advice;
- (b) fiduciary guidance;
- (c) legal advice;
- (d) tax advice;
- (e) portfolio management services; or
- (f) personalized financial recommendations.

4.1.2 The Client bears sole responsibility for evaluating the suitability, appropriateness, legality, and financial consequences of all trading decisions, investment strategies, and transactional activities.

4.1.3 The Company does not assess whether any financial instrument, trading strategy, or leveraged activity is suitable for the Client's:

- (a) financial condition;
- (b) experience level;
- (c) investment objectives;
- (d) risk tolerance; or
- (e) personal circumstances.

4.1.4 All trading decisions, investment actions, position management activities, and transactional instructions executed through the Company's Services shall be deemed independently initiated and authorized by the Client without reliance upon the Company or its representatives as financial advisers or fiduciaries.

4.2 Taxation, Regulatory, and Counterparty Risks

4.2.1 The Client remains solely responsible for all taxation obligations, reporting duties, regulatory filings, legal compliance requirements, and financial disclosures arising from trading activity or account usage.

4.2.2 The Company does not provide taxation guidance, legal consultation, accounting advice, or jurisdiction-specific regulatory interpretation.

4.2.3 The Client expressly acknowledges the existence of counterparty risk, including the possibility of:

- (a) insolvency;
- (b) operational failure;
- (c) bankruptcy;
- (d) liquidity shortages;
- (e) suspension of services; or
- (f) default by liquidity providers, financial institutions, payment processors, counterparties, custodians, or affiliated service providers.

4.2.4 Such circumstances may result in delayed withdrawals, restricted account access, suspension of services, liquidation of positions, financial loss, or inability to recover deposited funds or unrealized gains.

5. CLIENT RESPONSIBILITIES, RISK MANAGEMENT, AND SELF-GOVERNANCE

5.1 Ongoing Risk Monitoring and Client Accountability

5.1.1 The Client is encouraged to continuously monitor trading positions, market exposure, leverage utilization, margin levels, liquidity conditions, and overall financial risk associated with their account activity.

5.1.2 The Client may utilize risk-management mechanisms including:

- (a) stop-loss orders;
- (b) take-profit functions;
- (c) exposure limitations;
- (d) account restrictions;
- (e) automated controls; or
- (f) other protective tools provided through the Trading Platform.

5.1.3 The Client expressly understands and accepts that such protective tools may fail to operate as intended during abnormal, illiquid, volatile, disrupted, or rapidly changing market conditions.

5.1.4 The Company recommends that Clients periodically reassess:

- (a) financial objectives;
- (b) investment experience;
- (c) financial capacity;
- (d) risk tolerance;
- (e) trading knowledge; and
- (f) exposure to leveraged products.

5.1.5 The Client further acknowledges that prudent financial management, portfolio diversification, disciplined trading conduct, and independent decision-making remain solely the responsibility of the Client.

5.1.6 The Client acknowledges that trading activities involving leveraged and speculative financial instruments may give rise to emotional stress, psychological pressure, impulsive decision-making, compulsive trading behavior, or financial anxiety. The Client accepts sole responsibility for managing their trading conduct, emotional discipline, and financial decision-making processes.

6. LEGAL ENFORCEABILITY, CONTINUING VALIDITY, AND SURVIVAL OF OBLIGATIONS

6.1 Binding Effect and Continuing Obligations

6.1.1 By accessing the Company's Services, Trading Platforms, financial products, operational systems, or digital infrastructure, the Client expressly confirms that they have read, understood, reviewed, and voluntarily accepted all risks disclosed under this Policy.

6.1.2 The Client further acknowledges that no representation, assurance, guarantee, or warranty has been provided by the Company regarding:

- (a) profitability;
- (b) avoidance of losses;
- (c) preservation of capital;



- (d) uninterrupted access;
- (e) execution certainty; or
- (f) favorable trading conditions.

6.1.3 This Policy shall form an inseparable and legally binding component of the contractual relationship between the Client and the Company and shall be interpreted together with the Company's Conditions of Use and all supplementary agreements.

6.2 Survival, Enforcement, and Continuing Validity

6.2.1 Nothing contained within this Policy shall limit, waive, prejudice, or otherwise impair any rights, remedies, protections, defenses, powers, authorities, or enforcement measures available to the Company under applicable law, contractual agreements, regulatory obligations, compliance procedures, or operational frameworks.

6.2.2 This Policy shall survive account suspension, service termination, account closure, discontinuation of Services, or cessation of the Client relationship to the extent necessary for dispute resolution, compliance obligations, audit procedures, regulatory reporting, legal enforcement, or risk-management purposes.

6.2.3 Any acknowledgments, assumptions of risk, indemnities, disclaimers, limitations of liability, confidentiality obligations, enforcement rights, investigatory authorities, or legal protections arising under this Policy shall remain in full force and effect notwithstanding the suspension, restriction, expiration, or termination of the Client's account or relationship with the Company.